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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Christine Sherwood  
Signature

Applicant : David C. Jones  
Application No. : 09/888,242  
Filed : June 22, 2001  
Title : RATE ADAPTATION AND PARAMETER  
OPTIMIZATION FOR MULTI-BAND SINGLE  
CARRIER TRANSMISSION  
Grp./Div. : 2681  
Examiner : To be Assigned  
Docket No. : 45288/CAG/B600

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INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 13, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By Peter A. Nichols  
Peter A. Nichols  
Reg. No. 47,822  
626/795-9900

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ATTACHMENT TO  
INFORMATION DISCLOSURE STATEMENT  
OF U.S. PATENT APPLICATIONS TO BE CONSIDERED BY THE EXAMINER  
BUT NOT TO BE PRINTED ON THE PATENT

The following commonly owned, co-pending patent application contains similar subject matter as the present application.

PENDING APPLICATIONS		
U.S. Serial No.	Filing Date	First Named Inventor(s)
09/309,340	May 11, 1999	David C. Jones
EXAMINER		DATE CONSIDERED

FORM PTO-1449

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	45288/PAN/B600
Application Number	09/888,242
Filing Date	June 22, 2001
Applicant(s)	David C. Jones
Group Art Unit	2681
Examiner Name	To be Assigned

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U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,359,593	10/1994	Derby et al.	370	17	
	5,479,447	12/1995	Chow et al.	375	260	
	5,598,435	01/1997	Williams	375	261	
	5,987,069	11/1999	Furukawa et al.	375	285	
	6,072,779	06/2000	Tzannes et al.	370	252	
	6,088,387	07/2000	Gelblum et al.	375	222	
	6,101,223	08/2000	Betts	375	261	

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 753 947	01/1997	European Patent Office				
	0 812 087	12/1997	European Patent Office				
	0 869 647	10/1998	European Patent Office				
	WO 98/57472	12/1998	WIPO				
	WO 95/28773	10/1995	WIPO				
	WO 97/37454	10/1997	WIPO				
	2 285 375	07/1995	United Kingdom				

OTHER DOCUMENTS	
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE	DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		